

09/665,846

IN THE CLAIMS

Amend claims 1, 2, 7, 9-14, 19, and 21-24 as follows:

Add new claims 25 and 26 as follows:

1. (currently amended): A method of operating an image-based item processing system to process an entry which is a unit of work comprising a plurality of batches of physical document items, the method comprising:

- (a) determining whether a group of physical tracer document items are associated with an entry; and
- (b) associating a unique group of logical group of tracer document items with the entry when the determination in (a) is negative.

2. (currently amended): A method of operating a distributed image capture proof-of-deposit system having a central processing site and a number of branches connected via a network with the central processing site, the method comprising the steps of:

- (a) capturing at a branch images of a plurality of batches of physical document items at a branch associated with an entry without use of using a group of physical tracer document items at the branch; and
- (b) transferring the captured images captured at from the branch via the network to the central processing site;
- (c) receiving at the central processing site the images transferred from the branch; and
- (d) associating a unique logical group of tracer document items with the images received at the central processing site so as to allow the received images of physical document items to be later processed as if the plurality of batches of physical document items associated with the entry had been processed with a unique group of physical tracer document items.

3. (canceled)

09/665,846

4. (canceled)

5. (canceled)

6. (canceled)

7. (currently amended): A method of operating an image capture proof-of-deposit system at a central processing site to process a plurality of batches of physical document items associated with an entry without using a group of physical tracer document items, the method comprising the steps of:

(a) capturing images of the physical document items at the central processing site; and

(b) processing at least some images at the central processing site using associating a unique group of logical tracer document items which have been inserted at the central processing site with the captured images of physical document items so as to allow the captured images to be later processed as if the physical document items associated with the entry had been processed with a unique group of physical tracer document items.

8. (canceled)

9. (currently amended): A method of operating an encoding workstation of an image-based item processing system to process physical document items which are contained in a number of document trays without using a group of physical tracer document items in the document trays, the method comprising the steps of:

(a) determining whether a group of physical tracer document items are included in a tray of physical document items; and

(b) associating a logical unique group of logical tracer document items with the tray of physical document items when the determination in step (a) is negative.

09/665,846

10. (currently amended): A method according to claim 9, further comprising the step of:

(c) assigning a logical pocket number to each logical tracer document item in the unique group of logical group-of tracer document items.

11. (currently amended): A method according to claim 10, further comprising the step of:

(d) for each logical tracer document item, encoding a physical blank document item with information associated with the particular logical tracer document item.

12. (currently amended): A method according to claim 11, further comprising the step of:

(e) for each encoded item of step (d), routing the encoded physical document item to a physical pocket which has been assigned the logical pocket number of step (c).

13. (currently amended): An image-based item processing system for processing an entry which is a unit of work comprising a plurality of batches of physical document items, the system comprising:

means for determining whether a group of physical tracer document items are is associated with an the entry; and

means for associating a unique group of logical group-of tracer document items with the entry when the determination is negative.

14. (currently amended): A distributed image capture proof-of-deposit system having a central processing site and a number of branches connected via a network with the central processing site, the system comprising:

09/665,846

means for capturing at a branch images of a plurality of batches of physical document items at a branch associated with an entry without use of using a group of physical tracer document items at the branch; and

means for transferring the captured images eaptured at from the branch via the network to the central processing site;

means for receiving at the central processing site the images transferred from the branch; and

means for associating a unique logical group of tracer document items with the images received at the central processing site so as to allow the received images of physical document items to be later processed as if the plurality of batches of physical document items associated with the entry had been processed with a unique group of physical tracer document items.

15. (canceled)

16. (canceled)

17. (canceled)

18. (canceled)

19. (currently amended): An image capture proof-of-deposit system at a central processing site for processing a plurality of batches of physical document items associated with an entry without using a group of physical tracer document items, the system comprising:

means for capturing images of the physical document items at the central processing site; and

means for processing at least some images at the central processing site using associating a unique group of logical tracer document items which have been inserted at the

09/665,846

central processing site with the captured images of physical document items so as to allow the captured images to be later processed as if the physical document items associated with the entry had been processed with a unique group of physical tracer document items.

20. (canceled)

21. (currently amended): An encoding workstation of an image-based item processing system for processing physical document items which are contained in a number of document trays without using a group of physical tracer document items in the document trays, the encoding workstation comprising:

means for determining whether a group of physical tracer items are included in a tray of physical document items; and

means for associating a logical unique group of logical tracer document items with the tray of physical document items when the determination is negative.

22. (currently amended): An encoding workstation according to claim 21, further comprising means for assigning a logical pocket number to each logical tracer document item in the unique group of logical group of tracer document items.

23. (currently amended): An encoding workstation according to claim 22, further comprising, for each logical tracer document item, means for encoding a physical blank document item with information associated with the particular logical tracer document item.

24. (currently amended): An encoding workstation according to claim 23, further comprising, for each encoded item, means for routing the encoded physical document item to a physical pocket which has been assigned the corresponding logical pocket number assigned thereto.

09/665,846

25. (new): A method of operating a distributed image capture proof-of-deposit system having a central processing site and a number of branches connected via a network with the central processing site, the method comprising:

- (a) capturing at a branch images of physical document items without use of a group of physical tracer document items;
- (b) transferring the captured images of physical document items from the branch via the network to the central processing site;
- (c) receiving at the central processing site the images transferred from the branch;
- (d) assigning a unique entry number to all batches of document items received from the branch during a predetermined period of time;
- (e) creating a group of logical tracer document items based upon the assigned unique entry number; and
- (f) associating the group of logical tracer document items with all batches of document items received from the branch during the predetermined period of time so as to allow further downstream processing of the batches of document items at a later time.

26. (new): A distributed image capture proof-of-deposit system having a central processing site and a number of branches connected via a network with the central processing site, the system comprising:

- means for capturing at a branch images of physical document items without use of a group of physical tracer document items;
- means for transferring the captured images of physical document items from the branch via the network to the central processing site;
- means for receiving at the central processing site the images transferred from the branch;
- means for assigning a unique entry number to all batches of document items received from the branch during a predetermined period of time;

09/665,846

means for creating a group of logical tracer document items based upon the assigned unique entry number; and

means for associating the group of logical tracer document items with the batches of document items received from the branch during the predetermined period of time so as to allow further downstream processing of the batches of document items at a later time.